

# Ramsey ultrafilters and Countable-to-one Uniformization

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## Abstract

We show that Countable-to-One Uniformization is preserved by forcing with  $\mathcal{P}(\omega)/\text{Fin}$  over a model of ZF in which every set of reals is completely Ramsey. We also give an exposition of Todorćević's theorem that Ramsey ultrafilters are generic for  $\mathcal{P}(\omega)/\text{Fin}$  over suitable inner models.

## 1 Introduction

This paper presents a result on models of the form  $M[U]$ , where  $M$  is an inner model of ZF satisfying certain regularity properties inconsistent with the Axiom of Choice, and  $U$  is a Ramsey ultrafilter on the integers. Such extensions have been studied by several authors, notably Henle, Mathias and Woodin [6] and Di Prisco and Todorćević [2, 3], where the model  $M$  is variously taken to be a Solovay model or an inner model of Determinacy in the presence of large cardinals. Our result is that Countable-to-One Uniformization (a weak form of the Axiom of Choice; see the first paragraph of Section 3) is preserved by forcing with  $\mathcal{P}(\omega)/\text{Fin}$  over a model of ZF in which every set of reals is completely Ramsey (this includes many standard models of determinacy; see Section 3 and Subsection 1.2). In conjunction with the main result of [13], this fact can be used to show that there is no injection from  $\mathcal{P}(\omega)/\text{Fin}$  to  $\mathbb{R}$  in models of the form  $M[U]$  considered here (a result previously proved in [3] by other means).

We let  $\text{Fin}$  denote the ideal of finite subsets of  $\omega = \{0, 1, 2, \dots\}$ , and (for subsets  $x, y$  of  $\omega$ ) write  $x \subseteq^* y$  for  $x \setminus y \in \text{Fin}$ . It is easy to see that for any  $\subseteq^*$ -decreasing sequence  $\langle x_n : n < \omega \rangle$  consisting of infinite subsets of  $\omega$ , there is an infinite  $y \subseteq \omega$  such that  $y \subseteq^* x_n$  for all  $n$ . It follows that forcing with the Boolean algebra  $\mathcal{P}(\omega)/\text{Fin}$  over a model of ZF +  $\text{DC}_{\mathbb{R}}$  does not add countable subsets of the ground model.<sup>1</sup> Forcing with this Boolean algebra over

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<sup>1</sup>The principle of Dependent Choices (DC) says that every tree of height  $\omega$  without terminal nodes has an infinite branch;  $\text{DC}_{\mathbb{R}}$  is DC restricted to trees on  $\mathbb{R}$ .

a model  $M$  of  $\text{ZF} + \text{DC}_{\mathbb{R}}$  then produces a model  $M[U]$ , where the generic filter is naturally interpreted as a nonprincipal ultrafilter  $U$  on  $\omega$ . In fact, the ultrafilter  $U$  is a selective (or Ramsey) ultrafilter, which means that for any collection  $\{X_n : n \in \omega\} \subseteq U$  there is a set  $\{i_n : n \in \omega\}$  (listed in increasing order) in  $U$  such that  $i_0 \in X_0$  and each  $i_{n+1} \in X_{i_n}$ .

Ramsey ultrafilters exist if the Continuum Hypothesis holds, and their existence follows from weaker statements such as  $\text{cov}(\mathcal{M}) = \mathfrak{c}$ , where  $\text{cov}(\mathcal{M})$  is the least cardinality of a collection of meager sets of reals whose union is the entire real line, and  $\mathfrak{c}$  denotes the cardinality of the continuum (see Theorem 4.5.6 of [1]). Kunen [10] has shown that consistently there are no Ramsey ultrafilters.

A theorem of Todorćević (see [4]) implies that in the context of large cardinals every Ramsey ultrafilter is generic over the inner model  $L(\mathbb{R})$  for the partial order  $\mathcal{P}(\omega)/\text{Fin}$ . We give a proof of this theorem in Section 2.

Woodin has shown that under the assumption of a proper class of Woodin cardinals, the theory of  $L(\mathbb{R})$  is invariant under set forcing (see [12]). Since  $\mathcal{P}(\omega)/\text{Fin}$  is homogeneous, the theory of  $L(\mathbb{R})[U]$  is also invariant under set forcing (in this context) when  $U$  is taken to be a Ramsey ultrafilter. This should mean that large cardinals give as detailed a theory for  $L(\mathbb{R})[U]$  (i.e., answering most natural questions) as they do for the inner model  $L(\mathbb{R})$ . It remains to be seen whether this is the case. At the present moment many natural questions about this model remain open.

## 1.1 Notation

Given an infinite set  $a \subseteq \omega$ , we let  $[a]^\omega$  denote the set of infinite subsets of  $a$ , and we let  $[a]^{<\omega}$  denote the set of finite subsets of  $a$  (so  $\text{Fin} = [\omega]^{<\omega}$ ). Given  $s \in [\omega]^{<\omega}$  and  $a \in [\omega]^\omega$ , we let  $[s, a]$  denote the set of infinite subsets of  $s \cup a$  with  $s$  as an initial segment. Given  $s \in [\omega]^{<\omega}$  and a set  $a \subseteq \omega$ ,  $a/s$  denotes  $a$  in the case that  $s$  is the emptyset, and  $a \setminus (\max(s) + 1)$  otherwise.

## 1.2 Selective coideals and Ramsey ultrafilters

A *coideal*  $C$  on a set  $X$  is a subset of  $\mathcal{P}(X)$  such that  $\mathcal{P}(X) \setminus C$  is an ideal. Given  $a \in C$ , we let  $C \upharpoonright a$  denote  $\{b \in C \mid b \subseteq a\}$ . A coideal  $C$  on  $\omega$  is *selective* if it contains all cofinite sets, and if for all  $\subseteq$ -decreasing sequences  $\langle a_n : n \in \omega \rangle$  contained in  $C$ , there is a set  $\{k_i : i \in \omega\}$  (listed in increasing order) in  $C$  such that  $k_0 \in a_0$  and each  $k_{i+1}$  is in  $a_{k_i}$ . As defined above, a Ramsey ultrafilter is a selective ultrafilter on  $\omega$ .

The following is part of Theorem 4.5.2 of [1].

**Theorem 1.1.** *A nonprincipal ultrafilter  $U$  on  $\omega$  is Ramsey if and only if either of the following two statements holds.*

- *For every partition  $\{y_n : n \in \omega\}$  of  $\omega$ , either some  $y_n \in U$  or there exists an  $x \in U$  such that  $|x \cap y_n| \leq 1$  for all  $n \in \omega$ .*
- *For all  $a \subseteq [\omega]^2$ , there is an  $x \in U$  such that  $[x]^2 \subseteq a$  or  $[x]^2 \cap a = \emptyset$ .*

Given  $A \subseteq [\omega]^\omega$  and a coideal  $C$  on  $\omega$ , we say that  $A$  is *C-Ramsey* (or has the *C-Ramsey property*) if there exists a  $b \in C$  such that either  $A \cap [b]^\omega = \emptyset$  or  $[b]^\omega \subseteq A$ . We say that  $A$  is *completely C-Ramsey* if for every finite  $s \subseteq \omega$  and every  $b \in C$ , there exists a  $d \in C \upharpoonright b$  such that either  $A \cap [s, d] = \emptyset$  or  $[s, d] \subseteq A$ . We drop the prefix *C-* when  $C$  is the coideal of infinite subsets of  $\omega$ . It follows easily from the definitions that if every set of reals in an inner model  $M$  of ZF is *C-Ramsey*, then every set of reals in  $M$  is completely *C-Ramsey*, even if  $C$  is not a member of  $M$ . The axioms  $\text{AD}_{\mathbb{R}}$  and  $\text{AD} + V = L(\mathbb{R})$  each imply that every subset of  $[\omega]^\omega$  is completely Ramsey; weakly homogeneously Suslin sets of reals are also completely Ramsey (see pages 382 and 458 of [8]).

Given a coideal  $C$  on  $\omega$ , a set  $A \subseteq [\omega]^\omega$  is said to be *C-Baire* if for every  $s \in [\omega]^{<\omega}$  and  $b \in C$  there exist  $t \in [\omega]^{<\omega}$  and  $d \in C \upharpoonright b$  such that  $[t, d] \subseteq [s, b]$  and  $[t, d] \subseteq A$  or  $[t, d] \cap A = \emptyset$ . The following is a weakening of Corollary 7.14 of [15] (a corollary to Theorem 2.6 below).

**Theorem 1.2.** *If  $C$  is a selective coideal on  $\omega$ , then every C-Baire subset of  $[\omega]^\omega$  is completely C-Ramsey.*

## 2 Ramsey ultrafilters are generic

In this section we give a proof of the following theorem of Todorćević, adapted from [4]. Many of the ideas in this section have their origin in [11].

**Theorem 2.1** (Todorćević). *If there exist infinitely many Woodin cardinals below a measurable cardinal, then every Ramsey ultrafilter is  $L(\mathbb{R})$ -generic for  $\mathcal{P}(\omega)/\text{Fin}$ .*

Theorem 2.1 follows from Theorem 2.7 below, via the following definition (which appears on page 206 of [5]) and theorem (which follows from combining arguments given in [5] and [12]).

**2.2 Definition.** Given a set  $A \subseteq {}^\omega\omega$  and an infinite cardinal  $\lambda$ ,  $A$  is  $\lambda$ -universally Baire if for every topological space  $X$  with a regular open base of cardinality at most  $\lambda$ , and for every continuous function  $f: X \rightarrow {}^\omega\omega$ ,  $f^{-1}[A]$  has the property of Baire in  $X$ .

**Theorem 2.3** (Woodin). *If  $\delta$  is a limit of Woodin cardinals below a measurable cardinal, all subsets of  $2^\omega$  in  $L(\mathbb{R})$  are  $<\delta$ -universally Baire.*

Given a nonprincipal ultrafilter  $U$  on  $\omega$ , the *U-exponential* (or *U-Vietoris* or *U-Ellentuck*) topology on  $[\omega]^\omega$  has a base consisting of all sets of the form  $[s, a]$ , where  $s$  is a finite subset of  $\omega$  and  $a \in U$ . These sets are regular, as each set of the form  $[s, a]$  is clopen.

Let  $\pi: [\omega]^\omega \rightarrow \omega^\omega$  be the function that sends each infinite subset of  $\omega$  to its increasing enumeration. Letting  $U$  be a nonprincipal ultrafilter,  $\pi$  is continuous when its domain is given the *U-exponential* topology and its range is given the usual product topology. It follows that  $A \subseteq [\omega]^\omega$  has the property of Baire in the

$U$ -exponential topology whenever  $\pi[A]$  is  $\mathfrak{c}$ -universally Baire, where  $\mathfrak{c}$  denotes  $2^{\aleph_0}$ , the cardinality of the continuum.

The following lemma follows from Theorem 1.2 above.

**Lemma 2.4.** *If  $U$  is a Ramsey ultrafilter,  $D$  is a dense open set in the  $U$ -exponential topology, and  $[s, a]$  is a basic open set, then there exists a set  $a' \subseteq a$  in  $U$  such that  $[s, a'] \subseteq D$ .*

Our proof will also use the following two facts, the first of which follows from Theorem 1.1 and the second of which is a weakening of Lemma 7.12 of [15].

**Lemma 2.5.** *If  $U$  is a Ramsey ultrafilter on  $\omega$ , and for each finite  $s \subseteq \omega$ ,  $A_s$  is a member of  $U$ , then there is a set  $B \in U$  such that for all  $n \in B$ ,  $B/n \subseteq \bigcap_{s \subseteq n} A_{s \cup \{n\}}$ .*

*Proof.* Let  $E$  be the set of pairs  $i < j$  from  $\omega$  such that  $j \in A_{s \cup \{i\}}$  for all  $s \subseteq i$ , and let  $B \in U$  be such that  $[B]^2$  is contained in or disjoint from  $E$ . Since  $U$  is a filter, fixing  $i \in B$  there must be  $j \in B/i$  such that  $\{i, j\} \in E$ , so  $[B]^2$  is not disjoint from  $E$ .  $\square$

**Theorem 2.6.** *[Selective Galvin Lemma] If  $F \subseteq [\omega]^{<\omega}$  and  $C$  is a selective coideal, then there exists an  $a \in C$  such that  $F \cap [a]^{<\omega}$  is either empty or contains an initial segment of every infinite subset of  $a$ .*

Theorem 2.1 follows from the following more general fact.

**Theorem 2.7.** *If  $U$  is a Ramsey ultrafilter,  $I \subseteq [\omega]^\omega$  is  $\supseteq$ -dense and  $I$  has the property of Baire in the  $U$ -exponential topology, then  $U \cap I \neq \emptyset$ .*

*Proof.* Let us note first that for any dense open set  $D$  in the  $U$ -exponential topology, and any  $i \in \omega$ ,  $D$  contains a dense open set  $D[i]$  which is closed under changes below  $i$ . To see this, we check that for all  $t \subseteq i$ , the set  $D_i^t$  consisting of those  $b \in [\omega]^\omega$  such that  $(b \setminus i) \cup t \in D$  is dense open. Fix  $t$ , and note that if  $[t_0, c]$  is a basic open set, with  $\max(t_0) > i$ , then, letting  $t_1 = (t_0 \setminus i) \cup t$ , there exist a  $t_2 \subseteq c/t_1$  and a set  $c' \in U \upharpoonright c$  such that  $[t_1 \cup t_2, c']$  is contained in  $[t_1, c] \cap D$ . Then for every  $b \in [t_0 \cup t_2, c']$ ,  $(b \setminus i) \cup t$  is in  $D$ . Now, let  $D[i]$  be the dense open set formed by taking the intersection of all  $D_i^t$ , for  $t \subseteq i$ .

Let  $I \subseteq [\omega]^\omega$  be  $\supseteq$ -dense, and suppose that  $I$  has the property of Baire in the  $U$ -exponential topology. There exists an open set  $O$  such that  $O \triangle I$  is meager.

Let us see that  $O$  is dense. Fix a basic open set  $[s, a]$  and dense open sets  $D_i$  ( $i \in \omega$ ) such that  $(O \triangle I) \cap \bigcap_{i \in \omega} D_i = \emptyset$ . We may assume that  $D_{i+1} \subseteq D_i$  for each  $i \in \omega$ . Let  $[s_i, a_i]$  ( $i \in \omega$ ) be such that

- $[s_0, a_0] \subseteq [s, a]$ ;
- $[t \cup \{\max(s_i)\}, a_i] \subseteq D_i$ , for all  $t \subseteq \max(s_i)$  and  $i \in \omega$  (here we use Lemma 2.4);
- each  $[s_{i+1}, a_{i+1}] \subseteq [s_i, a_i]$ ;

- each  $s_{i+1}$  is a proper extension of  $s_i$ .

Then  $\{\max(s_i) : i \in \omega\}$  is infinite and every infinite subset of it is in each  $D_i$ . It has an infinite subset in  $I$ , and therefore in  $O$ .

We have then that  $O$  is dense open in the  $U$ -exponential topology, so by adding it to our collection of dense sets if necessary, we may assume that  $O = [\emptyset, \omega]$ , and fix dense open sets  $D_i$  ( $i \in \omega$ ) such that  $\bigcap_{i \in \omega} D_i \subseteq I$ . We will be done with the proof once we establish the following claim.

**Claim.**  $U \cap \bigcap_{i \in \omega} D_i \neq \emptyset$ .

Replacing each  $D_i$  with  $D_i[i+1]$  as above, we have that for all  $b \in D_i$  and all  $t \subseteq (i+1)$ ,  $(b/i) \cup t \in D_i$ . We may assume also that  $D_j \subseteq D_i$  for all  $i < j$  in  $\omega$ .

For each  $i \in \omega$  and each finite  $t \subset \omega$ , let  $a_t^i \subseteq \omega/t$  be an element of  $U$  such that  $[t, a_t^i] \subseteq D_i$ , if such a set exists, otherwise, let  $a_t^i = \omega/t$ .

For each  $i \in \omega$ , let

- $b_i$  be an element of  $U$  such that for all  $n \in b_i$ ,  $b_i/n \subseteq \bigcap \{a_{t \cup \{n\}}^i \mid t \subseteq n\}$  (here we use Lemma 2.5);
- $S_i$  be the set of nonempty finite  $t \subset \omega$  such that  $[t, a_t^i] \subseteq D_i$ ;
- $c_i$  be an element of  $U$  such that  $S_i \cap [c_i]^{<\omega}$  contains an initial segment of every infinite subset of  $c_i$  (here we use the Selective Galvin lemma; note that the empty case cannot hold, since  $D_i$  is dense open).

Applying Lemma 2.5 again, let  $e \in U$  be such that  $e/i \subseteq b_i \cap c_i$  for all  $i \in e$ . We claim then that  $e \in D_i$  for all  $i \in \omega$ . Since the  $D_i$ 's are shrinking, and  $e$  is infinite, it suffices to consider  $i \in e$ . For each such  $i$ , it suffices to see that  $e/i \in D_i$ . This in turn follows from the fact that  $e/i \subseteq c_i$ , so some nonempty initial segment  $s_0$  of  $e/i$  is in  $S_i$ , so  $[s_0, a_{s_0}^i] \subseteq D_i$ . Since  $e/i \subseteq b_i$  and  $b_i/s_0 \subseteq a_{s_0}^i$ , we have that  $e/s_0 \subseteq b_i$  and thus that  $e/i \in [s_0, a_{s_0}^i]$ .  $\square$

As a corollary, we get Mathias's result (in this context) that every selective coideal in  $L(\mathbb{R})$  is densely often the coideal of infinite sets.

**Corollary 2.8.** *Suppose that  $M$  is an inner model of ZF containing the reals, and that every set of reals in  $M$  is  $\mathfrak{c}$ -universally Baire in every forcing extension of  $V$  by an  $(\omega, \infty)$ -distributive partial order of cardinality at most  $\mathfrak{c}$ . Then for every selective coideal  $C$  on  $\omega$  in  $M$ , and every  $a \in [\omega]^\omega$ , there is a  $b \in [a]^\omega$  such that  $[b]^\omega \subseteq C$ .*

*Proof.* Let  $I = \mathcal{P}(\omega) \setminus C$ . Since  $C$  is selective, a  $V$ -generic filter for  $\mathcal{P}(a)/I$  gives a Ramsey ultrafilter  $U$  which does not intersect  $I$ . This ultrafilter  $U$  is also  $M$ -generic for  $\mathcal{P}(a)/\text{Fin}$ , which means that there must be a  $b \in [a]^\omega \cap U$  such that  $[b]^\omega \cap I = \emptyset$ .  $\square$

This of course implies that there are no infinite maximal antichains in  $\mathcal{P}(\omega)/\text{Fin}$ .

**Corollary 2.9.** *If  $M$  is an inner model of ZF containing the reals, and every set of reals in  $M$  is  $\mathfrak{c}$ -universally Baire in every forcing extension of  $V$  by an  $(\omega, \infty)$ -distributive partial order of cardinality at most  $\mathfrak{c}$ , then the partial order  $\mathcal{P}(\omega)/\text{Fin}$  contains no infinite maximal antichains in  $M$ .*

*Proof.* If  $A$  were such an antichain, let  $I$  be the ideal of subsets of  $\omega$  which are contained mod-finite in a union of finitely many members of  $A$ , and let  $C$  be the corresponding coideal. Then  $C$  is selective, and nowhere equal to  $\text{Fin}$ .  $\square$

### 3 Countable-to-one Enumeration in models of determinacy

Given sets  $A$  and  $B$ ,  $a \in A$  and  $X \subseteq A \times B$ , we let  $X_a$  denote the set of  $b \in B$  such that  $(a, b) \in X$ . *Uniformization* is the statement that for every  $X \subseteq \mathbb{R} \times \mathbb{R}$  there is a function  $f \subseteq X$  whose domain is the set of  $a \in \mathbb{R}$  such that  $X_a \neq \emptyset$ . *Countable-to-one Uniformization* is Uniformization restricted to the case where each set  $X_a$  is countable (in which case we say that  $X$  has *countable cross sections*). Finally, *Countable-to-one Enumeration* is the statement that for every  $X \subseteq \mathbb{R} \times \mathbb{R}$  having countable cross sections, there is a function  $F$  with domain  $\mathbb{R}$  such that  $F(a)$  is a wellordering of  $X_a$ , for each  $a \in \mathbb{R}$  (we say that  $F$  *uniformly enumerates*  $X$ ). Countable-to-one Enumeration clearly follows from Uniformization and implies Countable-to-one Uniformization. The first of these implications is not reversible, as we shall see below. We suspect that the second is also not reversible, but don't know of a proof.

It is easy to see that Uniformization is equivalent to determinacy for one-round real games, which of course follows from  $\text{AD}_{\mathbb{R}}$ . It is also well known that Uniformization fails in models of the form  $L(A)$ , for  $A$  a set of reals (a counterexample is the set of pairs  $(x, y)$  such that  $y$  is not ordinal definable from  $x$  and  $A$ ; see [14]). In this section we present a proof of Woodin's unpublished theorem that Countable-to-one Enumeration follows from the axiom  $\text{AD}^+$ , and thus holds in  $L(\mathbb{R})$  and other natural models of  $\text{AD}$ .

**3.1 Definition.** A set of ordinals  $S$  is an  $\infty$ -Borel code for a set of reals  $A$  if for some binary formula  $\phi$ ,  $A = \{x \in \mathbb{R} \mid L[S, x] \models \phi(S, x)\}$ .

The statement that every set of reals has an  $\infty$ -Borel code is one of the three statements that make up the axiom  $\text{AD}^+$  (see [16] for more details). Recall that for a model  $M$  of ZF and sets  $x_1, \dots, x_n$  in  $M$ ,  $\text{HOD}_{x_1, \dots, x_n}^M$  is the class  $\text{HOD}$  as defined in  $M$ , allowing  $x_1, \dots, x_n$  as parameters. This is always a model of ZFC, and has a natural definable wellordering.

**Theorem 3.2** (Woodin). *Countable-to-one Enumeration is a consequence of  $\text{AD} + \text{DC}_{\mathbb{R}} +$  "every set of reals has an  $\infty$ -Borel code."*

Before beginning the proof, we note that  $\text{AD}$  can be replaced by the following consequences, which are proved in many places, including Chapter 6 of [8].

- (Martin) Every set of Turing degrees either contains or is disjoint from a cone.
- (Mycielski) There is no  $\omega_1$ -sequence of distinct reals.

*Proof of Theorem 3.2.* Since all sets of reals are  $\infty$ -Borel, it suffices to fix a set of ordinals  $S$  and a formula  $\phi$  and show that the set

$$A_S = \{(x, y) \in \mathbb{R} \times \mathbb{R} \mid L[S, x, y] \models \phi(S, x, y)\}$$

can be uniformly enumerated, under the assumption that all of its cross sections are countable. We will show that for each  $x \in \mathbb{R}$ ,  $(A_S)_x \subseteq \text{HOD}_{S,x}$ . From this it follows, using the natural wellordering of  $\text{HOD}_{S,x}$ , that  $A_S$  can be uniformly enumerated. Fix a real  $x_0$ . For each  $z \in \mathbb{R}$ , set

$$H_z = \text{HOD}_{S,x_0}^{L[S,x_0,z]}.$$

**Claim.** *For a Turing cone of  $z$ ,  $(A_S)_{x_0} \subseteq H_z$ .*

Before proving this, we show that the theorem follows. To see this, suppose that the claim holds, and for each  $z$  in this Turing cone, let  $\langle x_\alpha^z \mid \alpha < \gamma_z \rangle$  be the enumeration of  $(A_S)_{x_0}$  in  $H_z$  via the natural wellordering of  $H_z$ . For each fixed  $\alpha < \omega_1$ , we get that on a Turing cone of  $z$ ,  $x_\alpha^z$  is a fixed real  $x_\alpha^\infty$ . The ordinal  $\gamma_z$  must also be the same for a Turing cone of  $z$  (call this common value  $\gamma_\infty$ ); otherwise, we get an  $\omega_1$ -sequence of distinct reals. So there is a sequence  $\langle x_\alpha^\infty \mid \alpha < \gamma_\infty \rangle$  which is equal to  $\langle x_\alpha^z \mid \alpha < \gamma_z \rangle$  for a Turing cone of  $z$ . Clearly  $x_\alpha^\infty \in \text{HOD}_{S,x_0}$  for all  $\alpha < \gamma_\infty$ . This finishes the proof of the theorem from the claim.

We finish by proving the claim. Since  $(A_S)_{x_0}$  is countable, it is a subset of  $L[S, x_0, z]$  for a Turing cone of  $z$ . Fix any  $z$  in this Turing cone. Following Definition 2.3 of [7] (but changing the notation), we let  $\mathbb{B}_0$  be the collection of subsets of  $\mathcal{P}(\omega)$  in  $L[S, x_0, z]$  which are ordinal definable in  $L[S, x_0, z]$  from  $S$  and  $x_0$ . Given a filter  $G \subseteq \mathbb{B}_0$  (where  $\mathbb{B}_0$  is considered as a partial order under containment), let  $y(G)$  be the set of  $n \in \omega$  such that  $\{y \subseteq \omega \mid n \in y\} \in G$ . Then by Vopěnka's Theorem (Theorem 2.4 of [7]), there exist a Boolean algebra  $\mathbb{B}_1$  in  $H_z$ , a  $\mathbb{B}_1$ -name  $\dot{y} \in H_z$  and an isomorphism  $h: \mathbb{B}_0 \rightarrow \mathbb{B}_1$  such that

1. for every real  $y \in L[S, x_0, z]$ ,  $G(y) = h[\{A \in \mathbb{B}_0 \mid y \in A\}]$  is  $H_z$ -generic for  $\mathbb{B}_1$ ;
2. if  $H \subseteq \mathbb{B}_1$  is  $H_z$ -generic and  $G = h^{-1}[H]$ , then  $y(G) = \dot{y}_H$  and, for every ternary formula  $\psi$  and every ordinal  $\alpha$ ,

$$L_\alpha[S, x_0, y(G)] \models \psi(S, x_0, y(G)) \Leftrightarrow \{y \subseteq \omega \mid L_\alpha[S, x_0, y] \models \psi(S, x_0, y)\} \in G.$$

By (2), densely many conditions in  $\mathbb{B}_1$  below

$$\{y \subseteq \omega \mid L[S, x_0, y] \models \phi(S, x_0, y)\}$$

must decide all of  $\dot{y}$ , since otherwise one can easily construct a real  $y(G)$  distinct from all members of the countable set  $(A_S)_{x_0}$  (here we use the fact that  $\mathcal{P}(\mathbb{B}_1)^{H_z}$  is countable, which follows from the fact that there is no  $\omega_1$ -sequence of distinct reals). By (1), and the assumption that  $(A_S)_{x_0} \subseteq L[S, x_0, z]$ , every member of  $(A_S)_{x_0}$  is one of these completely determined values of  $\dot{y}$ , which means that  $(A_S)_{x_0} \subseteq H_z$ .  $\square$

**3.3 Remark.** A slight modification of the argument just given works just assuming ZF+DC+“there is a fine measure on  $\mathcal{P}_{\omega_1}(\mathbb{R})$ ”; this holds in the Solovay model for Levy collapsing a measurable cardinal to be  $\omega_1$ .

**3.4 Remark.** The argument just given shows that under the assumption  $\text{AD}^+ + V = \text{HOD}_{\mathcal{P}(\mathbb{R})}$ , one can enumerate subsets of  $\mathcal{P}(\text{Ord}) \times \mathbb{R}$  which have countable cross-sections.

## 4 Countable-to-one Uniformization in the $\mathcal{P}(\omega)/\text{Fin}$ extension

In this section we prove the main result of this note. We do not know if the corresponding result holds for Countable-to-one Enumeration.

**Theorem 4.1.** *Suppose that every set of reals is completely Ramsey, and that Countable-to-one Uniformization holds. Then Countable-to-one Uniformization holds after forcing with  $\mathcal{P}(\omega)/\text{Fin}$ .*

Before proving Theorem 4.1, we separate out the following lemma, a variation of the results of Section 6 of [11].

**Lemma 4.2.** *Suppose that every set of reals is completely Ramsey, and let  $f: [\omega]^\omega \rightarrow 2^\omega$  be a partial function whose domain is closed under subsets and finite changes. Then for each  $x_0 \in \text{dom}(f)$  there exist  $x' \in [x_0]^\omega$  and a collection*

$$\{\tau_s^n : n \in \omega \setminus \{0\}, s \subseteq n\}$$

*such that each  $\tau_s^n$  is in the corresponding set  $2^n$ , and such that for all infinite  $x \subseteq \omega$  and all  $m \in \omega$ , if  $x/m \subseteq x'$ , then*

$$f(x) = \bigcup \{\tau_{x/m}^n : n \in (m, \omega) \cap x\}.$$

*Proof.* Fix  $x_0 \in \text{dom}(f)$ . Find  $x_n$  ( $n < \omega$ ) such that

- each  $x_{n+1} \in [x_n]^\omega$ ;
- for each  $n \in \omega$  and  $s \subseteq n$ ,  $f(x) \upharpoonright n$  is the same fixed set  $\tau_s^n$  for all  $x$  in  $[s \cup \{n\}, x_n]$  (here we use the complete Ramsey property).



Let  $x'$  be an infinite subset of  $x_0$  such that, for each  $n \in x'$ ,  $x'/n \subseteq x_n$ . If  $x \subseteq \omega$  is infinite and  $m \in \omega$  is such that  $x/m \subseteq x'$ , then for all  $n \in x/m$ ,

$$x/n \subseteq x'/n \subseteq x_n,$$

so  $f(x) \upharpoonright n = \tau_{x \cap n}^n$ . Then  $f(x) = \bigcup \{ \tau_{x \cap n}^n : n \in (m, \omega) \cap x \}$ .  $\square$

*Proof of Theorem 4.1.* Let  $\rho$  be a  $\mathcal{P}(\omega)/\text{Fin}$ -name for a subset of  $2^\omega \times 2^\omega$  with the property that each cross-section is countable. It suffices to prove the result in the case that each cross section is forced to be nonempty, so we assume this also. Let  $T$  be the set of triples  $(x, y, z)$  such that  $[x]$  forces that  $(y, z)$  is in the realization of  $\rho$ . By refining  $T$ , we may suppose that for each pair  $(x, y)$ ,

$$\{z \mid (x, y, z) \in T\} = \{z \mid \exists w \in [x]^\omega (w, y, z) \in T\}$$

whenever the first of these two sets is nonempty (note that it is always countable). To see this, note that since  $\rho$  is a name for a set with countable cross-sections, for each  $y$ , for densely many  $[x]$  there is a sequence of reals that  $[x]$  forces to be an enumeration of the cross section of  $\rho$  at  $y$ , and we may restrict  $T$  to triples starting with such pairs  $(x, y)$ .

Let  $P_0$  be the set of pairs  $(x, y)$  for which there exists a  $z$  with  $(x, y, z) \in T$ . Applying Countable-to-one Uniformization, fix a function  $Z: P_0 \rightarrow 2^\omega$  such that for each  $(x, y) \in P_0$ ,  $(x, y, Z(x, y)) \in T$ .

Let  $P_1$  be the set of pairs  $(x, y) \in P_0$  for which there exists a collection

$$\{\tau_s^n : n \in \omega \setminus \{0\}, s \subseteq n\}$$

such that each  $\tau_s^n$  is in the corresponding  $2^n$  and such that for all infinite  $w \subseteq \omega$  and all  $m \in \omega$ , if  $w/m \subseteq x$ , then

$$Z(w, y) = \bigcup \{ \tau_{w \cap n}^n : n \in (m, \omega) \cap w \}.$$

Applying Lemma 4.2 to the function  $Z(x, y)$  (with  $y$  fixed), we get the following.

**Claim.** *For each  $y \in {}^\omega 2$  and  $x \in [\omega]^\omega$  there exists an  $x' \in [x]^\omega$  such that  $(x', y) \in P_1$ .*

For each pair  $([x], y) \in \mathcal{P}(\omega)/\text{Fin} \times 2^\omega$ , let  $\Sigma_y^{[x]}$  be the set of finite  $\sigma \subset \omega$  for which there exists an  $x' \in [x]$  such that  $Z(w, y)$  is the same for all  $w \in [\sigma, x']$ . Noting that this constant value must be the same for all such  $x'$ , we denote it by  $Z^*([x], y, \sigma)$ . Note that  $[x_0] \leq [x_1]$  implies  $\Sigma_y^{[x_1]} \subseteq \Sigma_y^{[x_0]}$ , so for each  $y$ ,  $\Sigma_y^{[x]}$  is constant below densely many conditions  $[x]$ .

**Claim.** *If  $(x, y) \in P_1$ , then  $\Sigma_y^{[x]} \neq \emptyset$ .*

To prove the claim, fix  $\{\tau_s^n : n \in \omega \setminus \{0\}, s \subseteq n\}$  witnessing that  $(x, y) \in P_1$ . For each  $n \in \omega$  and  $s \subset n$  such that  $s \cup \{n\} \subseteq x$ , try to find  $t \cup \{m\}$  and  $r \cup \{p\}$ , subsets of  $x$  end-extending  $s \cup \{n\}$ , such that  $\tau_t^m$  and  $\tau_r^p$  are incompatible (necessarily proper) extensions of  $\tau_s^n$ . If there always exists such a pair, then

there is a perfect set  $Q$  consisting of infinite subsets of  $x$  such that the values of  $Z(w, y)$  for  $w \in Q$  are all distinct. This is impossible, by our refinement of  $T$ . This proves the claim.

Fixing some enumeration of  $[\omega]^{<\omega}$ , for each  $[x] \in \mathcal{P}(\omega)/\text{Fin}$ , let  $\sigma_{[x],y}$  denote the least element of  $\Sigma_y^{[x]}$ , whenever this set is nonempty (and be undefined otherwise). For each  $y \in 2^\omega$ , for densely many  $[x]$ ,  $\sigma_{[x],y}$  is defined and

$$\sigma_{[x'],y} = \sigma_{[x],y}$$

for all  $[x'] \leq [x]$ . Call this dense set  $D_y$ .

Now, suppose that  $[a]$  and  $[b]$  are two compatible conditions in  $D_y$ . Then for any  $[c]$  below both  $[a]$  and  $[b]$ ,  $\sigma_{[c],y}$  is equal to both  $\sigma_{[a],y}$  and  $\sigma_{[b],y}$ . Call this set  $\sigma$ . If  $d \in [a]$  and  $e \in [b]$  are such that  $Z(f, y)$  is the same for all  $f \in [\sigma, d]$ , and  $Z(g, y)$  is the same for all  $g \in [\sigma, e]$ , then these two constant values are the same, since these two sets are not disjoint. We have then that

- for all  $(x, y) \in P_1$ , if  $[x] \in D_y$ , then  $(x, y, Z^*([x], y, \sigma_{[x],y})) \in T$ ;
- for all  $(a, y), (b, y) \in P_1$ , if  $[a], [b] \in D_y$  and  $[a], [b]$  are compatible, then  $Z^*([a], y, \sigma_{[a],y}) = Z^*([b], y, \sigma_{[b],y})$ .

It follows that the set of  $(x, y, \sigma_{[x],y})$  for  $(x, y) \in P_1$  and  $[x] \in D_y$  gives rise to a name for function uniformizing the realization of  $\rho$ .  $\square$

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