

# Ramsey ultrafilters and Countable-to-one Uniformization

Richard Ketchersid      Paul Larson\*      Jindřich Zapletal†

October 19, 2015

## Abstract

We show that Countable-to-One Uniformization is preserved by forcing with  $\mathcal{P}(\omega)/\text{Fin}$  over a model of ZF in which every set of reals is completely Ramsey. We also give an exposition of Todorćevic's theorem that Ramsey ultrafilters are generic for  $\mathcal{P}(\omega)/\text{Fin}$  over suitable inner models.

## 1 Introduction

This paper presents a result on models of the form  $M[U]$ , where  $M$  is an inner model of ZF satisfying certain regularity properties inconsistent with the Axiom of Choice, and  $U$  is a Ramsey ultrafilter on the integers. Such extensions have been studied by several authors, notably Henle, Mathias and Woodin [6] and Di Prisco and Todorćevic [2, 3], where the model  $M$  is variously taken to be a Solovay model or an inner model of Determinacy in the presence of large cardinals. Our result is that Countable-to-One Uniformization (a weak form of the Axiom of Choice; see the first paragraph of Section 3) is preserved by forcing with  $\mathcal{P}(\omega)/\text{Fin}$  over a model of ZF in which every set of reals is completely Ramsey (this includes many standard models of determinacy; see Section 3 and Subsection 1.2). In conjunction with the main result of [13], this fact can be used to show that there is no injection from  $\mathcal{P}(\omega)/\text{Fin}$  to  $\mathbb{R}$  in models of the form the  $M[U]$  considered here (a result previously proved in [3] by other means).

We let  $\text{Fin}$  denote the ideal of finite subsets of  $\omega = \{0, 1, 2, \dots\}$ , and (for subsets  $x, y$  of  $\omega$ ) write  $x \subseteq^* y$  for  $x \setminus y \in \text{Fin}$ . It is easy to see that for any  $\subseteq^*$ -decreasing sequence  $\langle x_n : n < \omega \rangle$  consisting of infinite subsets of  $\omega$ , there is an infinite  $y \subseteq \omega$  such that  $y \subseteq^* x_n$  for all  $n$ . It follows that forcing with the Boolean algebra  $\mathcal{P}(\omega)/\text{Fin}$  over a model of ZF +  $\text{DC}_{\mathbb{R}}$  does not add countable subsets of the ground model.<sup>1</sup> Forcing with this Boolean algebra over

---

\*Partially supported by NSF grants DMS 0801009 and DMS 1201494.

†Partially supported by NSF grant DMS 1161078. The work in this paper was done around 2008. The authors thank Stevo Todorćevic and W. Hugh Woodin for permission to include their results.

<sup>1</sup>The principle of Dependent Choices (DC) says that every tree of height  $\omega$  without terminal nodes has an infinite branch;  $\text{DC}_{\mathbb{R}}$  is DC restricted to trees on  $\mathbb{R}$ .

a model  $M$  of  $\text{ZF} + \text{DC}_{\mathbb{R}}$  then produces a model  $M[U]$ , where the generic filter is naturally interpreted as a nonprincipal ultrafilter  $U$  on  $\omega$ . In fact, the ultrafilter  $U$  is a selective (or Ramsey) ultrafilter, which means that for any collection  $\{X_n : n \in \omega\} \subseteq U$  there is a set  $\{i_n : n \in \omega\}$  (listed in increasing order) in  $U$  such that  $i_0 \in X_0$  and each  $i_{n+1} \in X_{i_n}$ .

Ramsey ultrafilters exist if the Continuum Hypothesis holds, and their existence follows from weaker statements such as  $\text{cov}(\mathcal{M}) = \mathfrak{c}$ , where  $\text{cov}(\mathcal{M})$  is the least cardinality of a collection of meager sets of reals whose union is the entire real line, and  $\mathfrak{c}$  denotes the cardinality of the continuum (see Theorem 4.5.6 of [1]). Kunen [10] has shown that consistently there are no Ramsey ultrafilters.

A theorem of Todorćević (see [4]) implies that in the context of large cardinals every Ramsey ultrafilter is generic over the inner model  $L(\mathbb{R})$  for the partial order  $\mathcal{P}(\omega)/\text{Fin}$ . We give a proof of this theorem in Section 2.

Woodin has shown that under the assumption of a proper class of Woodin cardinals, the theory of  $L(\mathbb{R})$  is invariant under set forcing (see [12]). Since  $\mathcal{P}(\omega)/\text{Fin}$  is homogeneous, the theory of  $L(\mathbb{R})[U]$  is also invariant under set forcing (in this context) when  $U$  is taken to be a Ramsey ultrafilter. This should mean that large cardinals give as detailed a theory for  $L(\mathbb{R})[U]$  (i.e., answering most natural questions) as they do for the inner model  $L(\mathbb{R})$ . It remains to be seen whether this is the case. At the present moment many natural questions about this model remain open.

## 1.1 Notation

Given an infinite set  $a \subseteq \omega$ , we let  $[a]^\omega$  denote the set of infinite subsets of  $a$ , and we let  $[a]^{<\omega}$  denote the set of finite subsets of  $a$  (so  $\text{Fin} = [\omega]^{<\omega}$ ). Given  $s \in [\omega]^{<\omega}$  and  $a \in [\omega]^\omega$ , we let  $[s, a]$  denote the set of infinite subsets of  $s \cup a$  with  $s$  as an initial segment. Given  $s \in [\omega]^{<\omega}$  and a set  $a \subseteq \omega$ ,  $a/s$  denotes  $a$  in the case that  $s$  is the emptyset, and  $a \setminus (\max(s) + 1)$  otherwise.

## 1.2 Selective coideals and Ramsey ultrafilters

A *coideal*  $C$  on a set  $X$  is a subset of  $\mathcal{P}(X)$  such that  $\mathcal{P}(X) \setminus C$  is an ideal. Given  $a \in C$ , we let  $C \upharpoonright a$  denote  $\{b \in C \mid b \subseteq a\}$ . A coideal  $C$  on  $\omega$  is *selective* if it contains all cofinite sets, and if for all  $\subseteq$ -decreasing sequences  $\langle a_n : n \in \omega \rangle$  contained in  $C$ , there is a set  $\{k_i : i \in \omega\}$  (listed in increasing order) in  $C$  such that  $k_0 \in a_0$  and each  $k_{i+1}$  is in  $a_{k_i}$ . As defined above, a Ramsey ultrafilter is a selective ultrafilter on  $\omega$ .

The following is part of Theorem 4.5.2 of [1].

**Theorem 1.1.** *A nonprincipal ultrafilter  $U$  on  $\omega$  is Ramsey if and only if either of the following two statements holds.*

- For every partition  $\{y_n : n \in \omega\}$  of  $\omega$ , either some  $y_n \in U$  or there exists an  $x \in U$  such that  $|x \cap y_n| \leq 1$  for all  $n \in \omega$ .
- For all  $a \subseteq [\omega]^2$ , there is an  $x \in U$  such that  $[x]^2 \subseteq a$  or  $[x]^2 \cap a = \emptyset$ .

Given  $A \subseteq [\omega]^\omega$  and a coideal  $C$  on  $\omega$ , we say that  $A$  is *C-Ramsey* (or has the *C-Ramsey property*) if there exists a  $b \in C$  such that either  $A \cap [b]^\omega = \emptyset$  or  $[b]^\omega \subseteq A$ . We say that  $A$  is *completely C-Ramsey* if for every finite  $s \subseteq \omega$  and every  $b \in C$ , there exists a  $d \in C \upharpoonright b$  such that either  $A \cap [s, d] = \emptyset$  or  $[s, d] \subseteq A$ . We drop the prefix *C-* when  $C$  is the coideal of infinite subsets of  $\omega$ . It follows easily from the definitions that if every set of reals in an inner model  $M$  of ZF is *C-Ramsey*, then every set of reals in  $M$  is completely *C-Ramsey*, even if  $C$  is not a member of  $M$ . The axioms  $\text{AD}_{\mathbb{R}}$  and  $\text{AD} + V = L(\mathbb{R})$  each imply that every subset of  $[\omega]^\omega$  is completely Ramsey; weakly homogeneously Suslin sets of reals are also completely Ramsey (see pages 382 and 458 of [8]).

Given a coideal  $C$  on  $\omega$ , a set  $A \subseteq [\omega]^\omega$  is said to be *C-Baire* if for every  $s \in [\omega]^{<\omega}$  and  $b \in C$  there exist  $t \in [\omega]^{<\omega}$  and  $d \in C \upharpoonright b$  such that  $[t, d] \subseteq [s, b]$  and  $[t, d] \subseteq A$  or  $[t, d] \cap A = \emptyset$ . The following is a weakening of Corollary 7.14 of [15] (a corollary to Theorem 2.6 below).

**Theorem 1.2.** *If  $C$  is a selective coideal on  $\omega$ , then every C-Baire subset of  $[\omega]^\omega$  is completely C-Ramsey.*

## 2 Ramsey ultrafilters are generic

In this section we give a proof of the following theorem of Todorćević, adapted from [4]. Many of the ideas in this section have their origin in [11].

**Theorem 2.1** (Todorćević). *If there exist infinitely many Woodin cardinals below a measurable cardinal, then every Ramsey ultrafilter is  $L(\mathbb{R})$ -generic for  $\mathcal{P}(\omega)/\text{Fin}$ .*

Theorem 2.1 follows from Theorem 2.7 below, via the following definition (which appears on page 206 of [5]) and theorem (which follows from combining arguments given in [5] and [12]).

**2.2 Definition.** Given a set  $A \subseteq {}^\omega\omega$  and an infinite cardinal  $\lambda$ ,  $A$  is  $\lambda$ -universally Baire if for every topological space  $X$  with a regular open base of cardinality at most  $\lambda$ , and for every continuous function  $f: X \rightarrow {}^\omega\omega$ ,  $f^{-1}[A]$  has the property of Baire in  $X$ .

**Theorem 2.3** (Woodin). *If  $\delta$  is a limit of Woodin cardinals below a measurable cardinal, all subsets of  $2^\omega$  in  $L(\mathbb{R})$  are  $<\delta$ -universally Baire.*

Given a nonprincipal ultrafilter  $U$  on  $\omega$ , the *U-exponential* (or *U-Vietoris* or *U-Ellentuck*) topology on  $[\omega]^\omega$  has a base consisting of all sets of the form  $[s, a]$ , where  $s$  is a finite subset of  $\omega$  and  $a \in U$ . These sets are regular, as each set of the form  $[s, a]$  is clopen.

Let  $\pi: [\omega]^\omega \rightarrow \omega^\omega$  be the function that sends each infinite subset of  $\omega$  to its increasing enumeration. Letting  $U$  be a nonprincipal ultrafilter,  $\pi$  is continuous when its domain is given the *U-exponential* topology and its range is given the usual product topology. It follows that  $A \subseteq [\omega]^\omega$  has the property of Baire in the

$U$ -exponential topology whenever  $\pi[A]$  is  $\mathfrak{c}$ -universally Baire, where  $\mathfrak{c}$  denotes  $2^{\aleph_0}$ , the cardinality of the continuum.

The following lemma follows from Theorem 1.2 above.

**Lemma 2.4.** *If  $U$  is a Ramsey ultrafilter,  $D$  is a dense open set in the  $U$ -exponential topology, and  $[s, a]$  is a basic open set, then there exists a set  $a' \subseteq a$  in  $U$  such that  $[s, a'] \subseteq D$ .*

Our proof will also use the following two facts, the first of which follows from Theorem 1.1 and the second of which is a weakening of Lemma 7.12 of [15].

**Lemma 2.5.** *If  $U$  is a Ramsey ultrafilter on  $\omega$ , and for each finite  $s \subseteq \omega$ ,  $A_s$  is a member of  $U$ , then there is a set  $B \in U$  such that for all  $n \in B$ ,  $B/n \subseteq \bigcap_{s \subseteq n} A_{s \cup \{n\}}$ .*

*Proof.* Let  $E$  be the set of pairs  $i < j$  from  $\omega$  such that  $j \in A_{s \cup \{i\}}$  for all  $s \subseteq i$ , and let  $B \in U$  be such that  $[B]^2$  is contained in or disjoint from  $E$ . Since  $U$  is a filter, fixing  $i \in B$  there must be  $j \in B/i$  such that  $\{i, j\} \in E$ , so  $[B]^2$  is not disjoint from  $E$ .  $\square$

**Theorem 2.6.** *[Selective Galvin Lemma] If  $F \subseteq [\omega]^{<\omega}$  and  $C$  is a selective coideal, then there exists an  $a \in C$  such that  $F \cap [a]^{<\omega}$  is either empty or contains an initial segment of every infinite subset of  $a$ .*

Theorem 2.1 follows from the following more general fact.

**Theorem 2.7.** *If  $U$  is a Ramsey ultrafilter,  $I \subseteq [\omega]^\omega$  is  $\supseteq$ -dense and  $I$  has the property of Baire in the  $U$ -exponential topology, then  $U \cap I \neq \emptyset$ .*

*Proof.* Let us note first that for any dense open set  $D$  in the  $U$ -exponential topology, and any  $i \in \omega$ ,  $D$  contains a dense open set  $D[i]$  which is closed under changes below  $i$ . To see this, we check that for all  $t \subseteq i$ , the set  $D_i^t$  consisting of those  $b \in [\omega]^\omega$  such that  $(b \setminus i) \cup t \in D$  is dense open. Fix  $t$ , and note that if  $[t_0, c]$  is a basic open set, with  $\max(t_0) > i$ , then, letting  $t_1 = (t_0 \setminus i) \cup t$ , there exist a  $t_2 \subseteq c/t_1$  and a set  $c' \in U \upharpoonright c$  such that  $[t_1 \cup t_2, c']$  is contained in  $[t_1, c] \cap D$ . Then for every  $b \in [t_0 \cup t_2, c']$ ,  $(b \setminus i) \cup t$  is in  $D$ . Now, let  $D[i]$  be the dense open set formed by taking the intersection of all  $D_i^t$ , for  $t \subseteq i$ .

Let  $I \subseteq [\omega]^\omega$  be  $\supseteq$ -dense, and suppose that  $I$  has the property of Baire in the  $U$ -exponential topology. There exists an open set  $O$  such that  $O \triangle I$  is meager.

Let us see that  $O$  is dense. Fix a basic open set  $[s, a]$  and dense open sets  $D_i$  ( $i \in \omega$ ) such that  $(O \triangle I) \cap \bigcap_{i \in \omega} D_i = \emptyset$ . We may assume that  $D_{i+1} \subseteq D_i$  for each  $i \in \omega$ . Let  $[s_i, a_i]$  ( $i \in \omega$ ) be such that

- $[s_0, a_0] \subseteq [s, a]$ ;
- $[t \cup \{\max(s_i)\}, a_i] \subseteq D_i$ , for all  $t \subseteq \max(s_i)$  and  $i \in \omega$  (here we use Lemma 2.4);
- each  $[s_{i+1}, a_{i+1}] \subseteq [s_i, a_i]$ ;

- each  $s_{i+1}$  is a proper extension of  $s_i$ .

Then  $\{\max(s_i) : i \in \omega\}$  is infinite and every infinite subset of it is in each  $D_i$ . It has an infinite subset in  $I$ , and therefore in  $O$ .

We have then that  $O$  is dense open in the  $U$ -exponential topology, so by adding it to our collection of dense sets if necessary, we may assume that  $O = [\emptyset, \omega]$ , and fix dense open sets  $D_i$  ( $i \in \omega$ ) such that  $\bigcap_{i \in \omega} D_i \subseteq I$ . We will be done with the proof once we establish the following claim.

**Claim.**  $U \cap \bigcap_{i \in \omega} D_i \neq \emptyset$ .

Replacing each  $D_i$  with  $D_i[i+1]$  as above, we have that for all  $b \in D_i$  and all  $t \subseteq (i+1)$ ,  $(b/i) \cup t \in D_i$ . We may assume also that  $D_j \subseteq D_i$  for all  $i < j$  in  $\omega$ .

For each  $i \in \omega$  and each finite  $t \subset \omega$ , let  $a_t^i \subseteq \omega/t$  be an element of  $U$  such that  $[t, a_t^i] \subseteq D_i$ , if such a set exists, otherwise, let  $a_t^i = \omega/t$ .

For each  $i \in \omega$ , let

- $b_i$  be an element of  $U$  such that for all  $n \in b_i$ ,  $b_i/n \subseteq \bigcap \{a_{t \cup \{n\}}^i \mid t \subseteq n\}$  (here we use Lemma 2.5);
- $S_i$  be the set of nonempty finite  $t \subset \omega$  such that  $[t, a_t^i] \subseteq D_i$ ;
- $c_i$  be an element of  $U$  such that  $S_i \cap [c_i]^{<\omega}$  contains an initial segment of every infinite subset of  $c_i$  (here we use the Selective Galvin lemma; note that the empty case cannot hold, since  $D_i$  is dense open).

Applying Lemma 2.5 again, let  $e \in U$  be such that  $e/i \subseteq b_i \cap c_i$  for all  $i \in e$ . We claim then that  $e \in D_i$  for all  $i \in \omega$ . Since the  $D_i$ 's are shrinking, and  $e$  is infinite, it suffices to consider  $i \in e$ . For each such  $i$ , it suffices to see that  $e/i \in D_i$ . This in turn follows from the fact that  $e/i \subseteq c_i$ , so some nonempty initial segment  $s_0$  of  $e/i$  is in  $S_i$ , so  $[s_0, a_{s_0}^i] \subseteq D_i$ . Since  $e/i \subseteq b_i$  and  $b_i/s_0 \subseteq a_{s_0}^i$ , we have that  $e/s_0 \subseteq b_i$  and thus that  $e/i \in [s_0, a_{s_0}^i]$ .  $\square$

As a corollary, we get Mathias's result (in this context) that every selective coideal in  $L(\mathbb{R})$  is densely often the coideal of infinite sets.

**Corollary 2.8.** *Suppose that  $M$  is an inner model of ZF containing the reals, and that every set of reals in  $M$  is  $\mathfrak{c}$ -universally Baire in every forcing extension of  $V$  by an  $(\omega, \infty)$ -distributive partial order of cardinality at most  $\mathfrak{c}$ . Then for every selective coideal  $C$  on  $\omega$  in  $M$ , and every  $a \in [\omega]^\omega$ , there is a  $b \in [a]^\omega$  such that  $[b]^\omega \subseteq C$ .*

*Proof.* Let  $I = \mathcal{P}(\omega) \setminus C$ . Since  $C$  is selective, a  $V$ -generic filter for  $\mathcal{P}(a)/I$  gives a Ramsey ultrafilter  $U$  which does not intersect  $I$ . This ultrafilter  $U$  is also  $M$ -generic for  $\mathcal{P}(a)/\text{Fin}$ , which means that there must be a  $b \in [a]^\omega \cap U$  such that  $[b]^\omega \cap I = \emptyset$ .  $\square$

This of course implies that there are no infinite maximal antichains in  $\mathcal{P}(\omega)/\text{Fin}$ .

**Corollary 2.9.** *If  $M$  is an inner model of ZF containing the reals, and every set of reals in  $M$  is  $\mathfrak{c}$ -universally Baire in every forcing extension of  $V$  by an  $(\omega, \infty)$ -distributive partial order of cardinality at most  $\mathfrak{c}$ , then the partial order  $\mathcal{P}(\omega)/\text{Fin}$  contains no infinite maximal antichains in  $M$ .*

*Proof.* If  $A$  were such an antichain, let  $I$  be the ideal of subsets of  $\omega$  which are contained mod-finite in a union of finitely many members of  $A$ , and let  $C$  be the corresponding coideal. Then  $C$  is selective, and nowhere equal to  $\text{Fin}$ .  $\square$

### 3 Countable-to-one Enumeration in models of determinacy

Given sets  $A$  and  $B$ ,  $a \in A$  and  $X \subseteq A \times B$ , we let  $X_a$  denote the set of  $b \in B$  such that  $(a, b) \in X$ . *Uniformization* is the statement that for every  $X \subseteq \mathbb{R} \times \mathbb{R}$  there is a function  $f \subseteq X$  whose domain is the set of  $a \in \mathbb{R}$  such that  $X_a \neq \emptyset$ . *Countable-to-one Uniformization* is Uniformization restricted to the case where each set  $X_a$  is countable (in which case we say that  $X$  has *countable cross sections*). Finally, *Countable-to-one Enumeration* is the statement that for every  $X \subseteq \mathbb{R} \times \mathbb{R}$  having countable cross sections, there is a function  $F$  with domain  $\mathbb{R}$  such that  $F(a)$  is a wellordering of  $X_a$ , for each  $a \in \mathbb{R}$  (we say that  $F$  *uniformly enumerates*  $X$ ). Countable-to-one Enumeration clearly follows from Uniformization and implies Countable-to-one Uniformization. The first of these implications is not reversible, as we shall see below. We suspect that the second is also not reversible, but don't know of a proof.

It is easy to see that Uniformization is equivalent to determinacy for one-round real games, which of course follows from  $\text{AD}_{\mathbb{R}}$ . It is also well known that Uniformization fails in models of the form  $L(A)$ , for  $A$  a set of reals (a counterexample is the set of pairs  $(x, y)$  such that  $y$  is not ordinal definable from  $x$  and  $A$ ; see [14]). In this section we present a proof of Woodin's unpublished theorem that Countable-to-one Enumeration follows from the axiom  $\text{AD}^+$ , and thus holds in  $L(\mathbb{R})$  and other natural models of  $\text{AD}$ .

**3.1 Definition.** A set of ordinals  $S$  is an  $\infty$ -Borel code for a set of reals  $A$  if for some binary formula  $\phi$ ,  $A = \{x \in \mathbb{R} \mid L[S, x] \models \phi(S, x)\}$ .

The statement that every set of reals has an  $\infty$ -Borel code is one of the three statements that make up the axiom  $\text{AD}^+$  (see [16] for more details). Recall that for a model  $M$  of ZF and sets  $x_1, \dots, x_n$  in  $M$ ,  $\text{HOD}_{x_1, \dots, x_n}^M$  is the class  $\text{HOD}$  as defined in  $M$ , allowing  $x_1, \dots, x_n$  as parameters. This is always a model of ZFC, and has a natural definable wellordering.

**Theorem 3.2** (Woodin). *Countable-to-one Enumeration is a consequence of  $\text{AD} + \text{DC}_{\mathbb{R}} +$  "every set of reals has an  $\infty$ -Borel code."*

Before beginning the proof, we note that  $\text{AD}$  can be replaced by the following consequences, which are proved in many places, including Chapter 6 of [8].

- (Martin) Every set of Turing degrees either contains or is disjoint from a cone.
- (Mycielski) There is no  $\omega_1$ -sequence of distinct reals.

*Proof of Theorem 3.2.* Since all sets of reals are  $\infty$ -Borel, it suffices to fix a set of ordinals  $S$  and a formula  $\phi$  and show that the set

$$A_S = \{(x, y) \in \mathbb{R} \times \mathbb{R} \mid L[S, x, y] \models \phi(S, x, y)\}$$

can be uniformly enumerated, under the assumption that all of its cross sections are countable. We will show that for each  $x \in \mathbb{R}$ ,  $(A_S)_x \subseteq \text{HOD}_{S,x}$ . From this it follows, using the natural wellordering of  $\text{HOD}_{S,x}$ , that  $A_S$  can be uniformly enumerated. Fix a real  $x_0$ . For each  $z \in \mathbb{R}$ , set

$$H_z = \text{HOD}_{S,x_0}^{L[S,x_0,z]}.$$

**Claim.** *For a Turing cone of  $z$ ,  $(A_S)_{x_0} \subseteq H_z$ .*

Before proving this, we show that the theorem follows. To see this, suppose that the claim holds, and for each  $z$  in this Turing cone, let  $\langle x_\alpha^z \mid \alpha < \gamma_z \rangle$  be the enumeration of  $(A_S)_{x_0}$  in  $H_z$  via the natural wellordering of  $H_z$ . For each fixed  $\alpha < \omega_1$ , we get that on a Turing cone of  $z$ ,  $x_\alpha^z$  is a fixed real  $x_\alpha^\infty$ . The ordinal  $\gamma_z$  must also be the same for a Turing cone of  $z$  (call this common value  $\gamma_\infty$ ); otherwise, we get an  $\omega_1$ -sequence of distinct reals. So there is a sequence  $\langle x_\alpha^\infty \mid \alpha < \gamma_\infty \rangle$  which is equal to  $\langle x_\alpha^z \mid \alpha < \gamma_z \rangle$  for a Turing cone of  $z$ . Clearly  $x_\alpha^\infty \in \text{HOD}_{S,x_0}$  for all  $\alpha < \gamma_\infty$ . This finishes the proof of the theorem from the claim.

We finish by proving the claim. Since  $(A_S)_{x_0}$  is countable, it is a subset of  $L[S, x_0, z]$  for a Turing cone of  $z$ . Fix any  $z$  in this Turing cone. Following Definition 2.3 of [7] (but changing the notation), we let  $\mathbb{B}_0$  be the collection of subsets of  $\mathcal{P}(\omega)$  in  $L[S, x_0, z]$  which are ordinal definable in  $L[S, x_0, z]$  from  $S$  and  $x_0$ . Given a filter  $G \subseteq \mathbb{B}_0$  (where  $\mathbb{B}_0$  is considered as a partial order under containment), let  $y(G)$  be the set of  $n \in \omega$  such that  $\{y \subseteq \omega \mid n \in y\} \in G$ . Then by Vopěnka's Theorem (Theorem 2.4 of [7]), there exist a Boolean algebra  $\mathbb{B}_1$  in  $H_z$ , a  $\mathbb{B}_1$ -name  $\dot{y} \in H_z$  and an isomorphism  $h: \mathbb{B}_0 \rightarrow \mathbb{B}_1$  such that

1. for every real  $y \in L[S, x_0, z]$ ,  $G(y) = h[\{A \in \mathbb{B}_0 \mid y \in A\}]$  is  $H_z$ -generic for  $\mathbb{B}_1$ ;
2. if  $H \subseteq \mathbb{B}_1$  is  $H_z$ -generic and  $G = h^{-1}[H]$ , then  $y(G) = \dot{y}_H$  and, for every ternary formula  $\psi$  and every ordinal  $\alpha$ ,

$$L_\alpha[S, x_0, y(G)] \models \psi(S, x_0, y(G)) \Leftrightarrow \{y \subseteq \omega \mid L_\alpha[S, x_0, y] \models \psi(S, x_0, y)\} \in G.$$

By (2), densely many conditions in  $\mathbb{B}_1$  below

$$\{y \subseteq \omega \mid L[S, x_0, y] \models \phi(S, x_0, y)\}$$

must decide all of  $\dot{y}$ , since otherwise one can easily construct a real  $y(G)$  distinct from all members of the countable set  $(A_S)_{x_0}$  (here we use the fact that  $\mathcal{P}(\mathbb{B}_1)^{H_z}$  is countable, which follows from the fact that there is no  $\omega_1$ -sequence of distinct reals). By (1), and the assumption that  $(A_S)_{x_0} \subseteq L[S, x_0, z]$ , every member of  $(A_S)_{x_0}$  is one of these completely determined values of  $\dot{y}$ , which means that  $(A_S)_{x_0} \subseteq H_z$ .  $\square$

**3.3 Remark.** A slight modification of the argument just given works just assuming  $\text{ZF} + \text{DC} +$  “there is a fine measure on  $\mathcal{P}_{\omega_1}(\mathbb{R})$ ”; this holds in the Solovay model for Levy collapsing a measurable cardinal to be  $\omega_1$ .

**3.4 Remark.** The argument just given shows that under the assumption  $\text{AD}^+ + V = \text{HOD}_{\mathcal{P}(\mathbb{R})}$ , one can enumerate subsets of  $\mathcal{P}(\text{Ord}) \times \mathbb{R}$  which have countable cross-sections.

## 4 Countable-to-one Uniformization in the $\mathcal{P}(\omega)/\text{Fin}$ extension

In this section we prove the main result of this note. We do not know if the corresponding result holds for Countable-to-one Enumeration.

**Theorem 4.1.** *Suppose that every set of reals is completely Ramsey, and that Countable-to-one Uniformization holds. Then Countable-to-one Uniformization holds after forcing with  $\mathcal{P}(\omega)/\text{Fin}$ .*

Before proving Theorem 4.1, we separate out the following lemma, a variation of the results of Section 6 of [11].

**Lemma 4.2.** *Suppose that every set of reals is completely Ramsey, and let  $f: [\omega]^\omega \rightarrow 2^\omega$  be a partial function whose domain is closed under subsets and finite changes. Then for each  $x_0 \in \text{dom}(f)$  there exist  $x' \in [x_0]^\omega$  and a collection*

$$\{\tau_s^n : n \in \omega \setminus \{0\}, s \subseteq n\}$$

*such that each  $\tau_s^n$  is in the corresponding set  $2^n$ , and such that for all infinite  $x \subseteq \omega$  and all  $m \in \omega$ , if  $x/m \subseteq x'$ , then*

$$f(x) = \bigcup \{\tau_{x \cap n}^n : n \in (m, \omega) \cap x\}.$$

*Proof.* Fix  $x_0 \in \text{dom}(f)$ . Find  $x_n$  ( $n < \omega$ ) such that

- each  $x_{n+1} \in [x_n]^\omega$ ;
- for each  $n \in \omega$  and  $s \subseteq n$ ,  $f(x) \upharpoonright n$  is the same fixed set  $\tau_s^n$  for all  $x$  in  $[s \cup \{n\}, x_n]$  (here we use the complete Ramsey property).



Let  $x'$  be an infinite subset of  $x_0$  such that, for each  $n \in x'$ ,  $x'/n \subseteq x_n$ . If  $x \subseteq \omega$  is infinite and  $m \in \omega$  is such that  $x/m \subseteq x'$ , then for all  $n \in x/m$ ,

$$x/n \subseteq x'/n \subseteq x_n,$$

so  $f(x) \upharpoonright n = \tau_{x \cap n}^n$ . Then  $f(x) = \bigcup \{ \tau_{x \cap n}^n : n \in (m, \omega) \cap x \}$ .  $\square$

*Proof of Theorem 4.1.* Let  $\rho$  be a  $\mathcal{P}(\omega)/\text{Fin}$ -name for a subset of  $2^\omega \times 2^\omega$  with the property that each cross-section is countable. It suffices to prove the result in the case that each cross section is forced to be nonempty, so we assume this also. Let  $T$  be the set of triples  $(x, y, z)$  such that  $[x]$  forces that  $(y, z)$  is in the realization of  $\rho$ . By refining  $T$ , we may suppose that for each pair  $(x, y)$ ,

$$\{z \mid (x, y, z) \in T\} = \{z \mid \exists w \in [x]^\omega (w, y, z) \in T\}$$

whenever the first of these two sets is nonempty (note that it is always countable). To see this, note that since  $\rho$  is a name for a set with countable cross-sections, for each  $y$ , for densely many  $[x]$  there is a sequence of reals that  $[x]$  forces to be an enumeration of the cross section of  $\rho$  at  $y$ , and we may restrict  $T$  to triples starting with such pairs  $(x, y)$ .

Let  $P_0$  be the set of pairs  $(x, y)$  for which there exists a  $z$  with  $(x, y, z) \in T$ . Applying Countable-to-one Uniformization, fix a function  $Z: P_0 \rightarrow 2^\omega$  such that for each  $(x, y) \in P_0$ ,  $(x, y, Z(x, y)) \in T$ .

Let  $P_1$  be the set of pairs  $(x, y) \in P_0$  for which there exists a collection

$$\{\tau_s^n : n \in \omega \setminus \{0\}, s \subseteq n\}$$

such that each  $\tau_s^n$  is in the corresponding  $2^n$  and such that for all infinite  $w \subseteq \omega$  and all  $m \in \omega$ , if  $w/m \subseteq x$ , then

$$Z(w, y) = \bigcup \{ \tau_{w \cap n}^n : n \in (m, \omega) \cap w \}.$$

Applying Lemma 4.2 to the function  $Z(x, y)$  (with  $y$  fixed), we get the following.

**Claim.** *For each  $y \in {}^\omega 2$  and  $x \in [\omega]^\omega$  there exists an  $x' \in [x]^\omega$  such that  $(x', y) \in P_1$ .*

For each pair  $([x], y) \in \mathcal{P}(\omega)/\text{Fin} \times 2^\omega$ , let  $\Sigma_y^{[x]}$  be the set of finite  $\sigma \subset \omega$  for which there exists an  $x' \in [x]$  such that  $Z(w, y)$  is the same for all  $w \in [\sigma, x']$ . Noting that this constant value must be the same for all such  $x'$ , we denote it by  $Z^*([x], y, \sigma)$ . Note that  $[x_0] \leq [x_1]$  implies  $\Sigma_y^{[x_1]} \subseteq \Sigma_y^{[x_0]}$ , so for each  $y$ ,  $\Sigma_y^{[x]}$  is constant below densely many conditions  $[x]$ .

**Claim.** *If  $(x, y) \in P_1$ , then  $\Sigma_y^{[x]} \neq \emptyset$ .*

To prove the claim, fix  $\{\tau_s^n : n \in \omega \setminus \{0\}, s \subseteq n\}$  witnessing that  $(x, y) \in P_1$ . For each  $n \in \omega$  and  $s \subset n$  such that  $s \cup \{n\} \subseteq x$ , try to find  $t \cup \{m\}$  and  $r \cup \{p\}$ , subsets of  $x$  end-extending  $s \cup \{n\}$ , such that  $\tau_t^m$  and  $\tau_r^p$  are incompatible (necessarily proper) extensions of  $\tau_s^n$ . If there always exists such a pair, then

there is a perfect set  $Q$  consisting of infinite subsets of  $x$  such that the values of  $Z(w, y)$  for  $w \in Q$  are all distinct. This is impossible, by our refinement of  $T$ . This proves the claim.

Fixing some enumeration of  $[\omega]^{<\omega}$ , for each  $[x] \in \mathcal{P}(\omega)/\text{Fin}$ , let  $\sigma_{[x],y}$  denote the least element of  $\Sigma_y^{[x]}$ , whenever this set is nonempty (and be undefined otherwise). For each  $y \in 2^\omega$ , for densely many  $[x]$ ,  $\sigma_{[x],y}$  is defined and

$$\sigma_{[x'],y} = \sigma_{[x],y}$$

for all  $[x'] \leq [x]$ . Call this dense set  $D_y$ .

Now, suppose that  $[a]$  and  $[b]$  are two compatible conditions in  $D_y$ . Then for any  $[c]$  below both  $[a]$  and  $[b]$ ,  $\sigma_{[c],y}$  is equal to both  $\sigma_{[a],y}$  and  $\sigma_{[b],y}$ . Call this set  $\sigma$ . If  $d \in [a]$  and  $e \in [b]$  are such that  $Z(f, y)$  is the same for all  $f \in [\sigma, d]$ , and  $Z(g, y)$  is the same for all  $g \in [\sigma, e]$ , then these two constant values are the same, since these two sets are not disjoint. We have then that

- for all  $(x, y) \in P_1$ , if  $[x] \in D_y$ , then  $(x, y, Z^*([x], y, \sigma_{[x],y})) \in T$ ;
- for all  $(a, y), (b, y) \in P_1$ , if  $[a], [b] \in D_y$  and  $[a], [b]$  are compatible, then  $Z^*([a], y, \sigma_{[a],y}) = Z^*([b], y, \sigma_{[b],y})$ .

It follows that the set of  $(x, y, \sigma_{[x],y})$  for  $(x, y) \in P_1$  and  $[x] \in D_y$  gives rise to a name for function uniformizing the realization of  $\rho$ .  $\square$

## References

- [1] T. Bartoszyński, H. Judah, **Set theory. On the structure of the real line**, A.K. Peters, 1995
- [2] C.A. Di Prisco, S. Todorcevic, *Perfect-set properties in  $L(\mathbb{R})[U]$* , Advances in Math 139 (1998), 240-259
- [3] C.A. Di Prisco, S. Todorcevic, *Souslin partitions of products of finite sets*, Advances in Math 176 (2003), 145-173
- [4] I. Farah, *Semi-selective co-ideals*, Mathematika 45 (1998), no. 1, 79-103
- [5] Q. Feng, M. Magidor, H.W. Woodin, *Universally Baire sets of reals*, in **Set theory of the continuum**, Math. Sci. Res. Inst. Publ., 26, Springer, New York, 1992, pages 203-242
- [6] J.M. Henle, A.R.D. Mathias, W.H. Woodin, *A barren extension*, in **Methods in Mathematical Logic**, Lecture Notes in Mathematics 1130, Springer-Verlag, 1985, pages 195-207
- [7] G. Hjorth, *A dichotomy for the definable universe*, J. Symbolic Logic 60 (1995), 1199-1207

- [8] A. Kanamori, **The higher infinite**, 2nd edition, Springer Monographs in Mathematics, 2003
- [9] P. Koellner, W.H. Woodin, *Large cardinals from determinacy*, in **The handbook of set theory**, Foreman, Kanamori, eds., Springer 2010, pages 1951-2119
- [10] K. Kunen, *Some points in  $\beta\mathbb{N}$* , Math. Proc. Cambridge Phil. Soc. 80:385398, 1976
- [11] A.R.D. Mathias, *Happy families*, Ann. Math Logic 12 (1977), 59-111
- [12] P.B. Larson, **The stationary tower. Notes on a course by W. Hugh Woodin**, University Lecture Series, 32. American Mathematical Society, Providence, RI, 2004
- [13] P.B. Larson, *A Choice function on countable sets, from determinacy*, Proc. Amer. Math. Soc. 143 (2015) 4, 17631770
- [14] R.M. Solovay, *The independence of DC from AD*, **Cabal Seminar 76–77**, Lecture Notes in Math. 689, Springer, Berlin, 1978, 171–183
- [15] S. Todorcevic, **Introduction to Ramsey spaces**, Annals of Mathematics Studies, 174. Princeton University Press, Princeton, NJ, 2010
- [16] W.H. Woodin, **The axiom of determinacy, forcing axioms and the nonstationary ideal**, second revised edition. de Gruyter Series in Logic and its Applications, 2010

Mathematics Department  
 University of Arizona  
 617 N. Santa Rita Ave.  
 P.O. Box 210089  
 Tucson AZ 85721-0089  
 USA  
 ketchers@email.arizona.edu

Department of Mathematics  
 Miami University  
 Oxford, Ohio 45056  
 USA  
 larsonpb@muohio.edu

Department of Mathematics  
 University of Florida  
 Gainesville, Florida 32611-8105  
 USA  
 zapletal@math.ufl.edu